

DAC #21
(S) #
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

John C. Harvey and James W. Cuddihy:

Group Art Unit: 2737

Serial No.: 08/452,395

Examiner: FAILE, A.

Filed: May 26, 1995

Attorney Docket: 05634.0065

For: SIGNAL PROCESSING APPARATUS
AND METHODS

**ATTN: APPLICATION PROCESSING DIVISION,
SPECIAL PROCESSING & CORRESPONDENCE BRANCH**

RECEIVED

Commissioner for Patents and Trademarks
Washington, D.C. 20231

JAN 4 2001

OFFICE OF PETITIONS

**NOTIFICATION OF CHANGE IN STATUS UNDER 37 C.F.R. § 1.28(B) AND
PAYMENT OF FEE DEFICIENCIES UNDER 37 C.F.R. § 1.28(C)**

Sir:

Upon review of the claims as filed in the above-referenced application and the "field of use" clause in the application's assignee's license contract with a firm that is a large entity, it has come to the applicant's attention that the present application requires large entity status.

Pursuant to 37 C.F.R. § 1.28(b), Attorneys for Applicants hereby provide notification that Personalized Media Communications, L.L.C. ("PMC"), the assignee of the above referenced application Serial No. 08/452,395 does not qualify for small entity status under M.P.E.P. § 509.02 and 37 C.F.R. § 1.9 for paying reduced patent fees for the above referenced application and that the claim for small entity status for the above-referenced application Serial No. 08/452,395 is hereby withdrawn.

Enclosed herewith is assignee's check no. 3050 for the recalculated fees of \$1,278.00 to convert the above-referenced application from small to large entity status in accordance with 37 C.F.R. § 1.28(c). The following table identifies each of applicant's

01/05/2001 SLUANG1 00000037 08452395

01 FC:699

1278.00-0P

Adjustment date: 01/10/2001
01/08/2001 SLUANG1 00000015 08452395
04 FC:699
01/10/2001 LGIBBS 00000024 08452395
01 FC:197
01/08/2001 SLUANG1 00000015 08452395
01 FC:117
02 FC:102
03 FC:103
04 FC:699

submissions to the Patent and Trademark Office ("PTO") necessitating the enclosed payment and the amount to bring the application in conformity to large entity status.

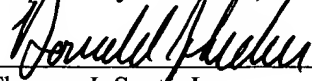
Description of Submission to PTO	Amount Deficient
New application filing:	\$365.00
Preliminary amendments:	\$437.00
Response to first action new claim fees:	\$11.00
Response to first action extension of time:	\$465.00
Response to second action new claim fees:	
Response to second action extension of time:	
Response to final action new claim fees:	
Response to final action extension of time:	
1.129(a) submission:	
TOTAL	\$1,278.00

Applicants respectfully request the records of the PTO be revised to indicate that the above-referenced application should now be considered the application of a large entity.

The Commissioner is hereby authorized to charge or credit the deposit account of **Hunton & Williams**, Deposit Account No. **50-0206**, for any insufficient funds or overpayments in connection with the filing of the instant papers.

Date: December 29, 2000
HUNTON & WILLIAMS
1900 K Street, N.W.
12th Floor
Washington, D.C. 20006

Respectfully submitted,


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Tel: (202) 955-1938

Transaction List

Search by

☐ Accounting Date: Operator ID:
(MMDDYY)



☒ Name/Number:

Accounting Date Starts: Ends:

☐ Attny Docket No:

☐ Deposit Account No:



Accounting Date	Operator ID	Seq. No.	Txn Src	Fee Code	St	Amount	Name/Number	Dep Acct
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09/29/1997	TWILLIAM	183	SALE	203	A	11.00	08452395	
09/29/1997	TWILLIAM	182	SALE	217	A	465.00	08452395	
01/22/1996	260YC	4802	CRDA	202	A	195.00	08452395	
01/22/1996	260YC	4801	CRDA	203	A	242.00	08452395	
06/26/1995	090BA	9000201	CRDA	101	✓ A	365.00	08452395	
06/26/1995	090BA	1302	CRDA	101	✓ A	365.00	08452395	
06/26/1995	090BA	1301	CRDA	201	A	365.00	08452395	
06/13/1995	090BA	12001	CRDA	201	A	365.00	08452395	

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Adjustment Detail

Authorization Detail



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02 FC:203 -11.00 OP

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